

Docket No. 296473US0X PCT

DFW
MAIL STOP PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Cornelia BORGMANN, et al.

SERIAL NO: 10/593,330

GAU:

FILED: September 19, 2006

EXAMINER:

FOR: METHOD FOR HYDROFORMYLATING OLEFINS IN THE PRESENCE OF ORGANOPHOSPHORIC COMPOUNDS



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Charles A. Wendel

Charles A. Wendel

Registration N. 24,453

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar
Registration No. 34,423



Form PTO 1449
(Modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.
296473US0X PCT

SERIAL NO.
10/593,330

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Cornelia BORGMANN, et al.

FILING DATE
September 19, 2006

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAA	6,015,928	01/18/00	GUBISCH, et al.			
	ABA	US 6,239,318 B1	05/29/01	SCHULER, et al.			
	ACA	US 6,407,295 B1	06/18/02	KAIZIK, et al.			
	ADA	US 6,403,836 B2	06/11/02	KAIZIK, et al.			
	AEA	US 6,680,414 B2	01/20/04	KNOOP, et al.			
	AFA	US 6,627,782 B2	09/30/03	KAIZIK, et al.			
	AGA	US 6,720,457 B2	04/13/04	DREES, et al.			
	AHA	US 2005/0182277 A1	08/18/05	TOTSCH, et al.			
	AIA	US 6,960,699 B2	11/01/05	TOTSCH, et al.			
	AJA	US 2006/0183936 A1	08/17/06	GRASS, et al.			
	AKA	US 2006/0128998 A1	06/15/06	LUEKEN, et al.			
	ALA	US 2006/0161017 A1	07/20/06	GRASS, et al.			
	AMA	US 2006/0036121 A1	02/16/06	KAIZIK, et al.			
	ANA	US 2006/0129004 A1	06/15/06	LUEKEN, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AOA					
	APA					
	AQA					
	ARA					
	ASA					
	ATA					
	AUA					
	AVA					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AWA	
	AXA	
	AYA	
	AZA	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
296473US0X PCTSERIAL NO.
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAB	US 6,184,424 B1	02/06/01	BUESCHKEN, et al.			
	ABB	US 2005/0256281 A1	11/17/05	GRUND, et al.			
	ACB	US 2004/0238787 A1	12/02/04	WIESE, et al.			
	ADB	US 2004/0242947 A1	12/02/04	BELLER, et al.			
	AEB	US 4 599 206	07/08/1986	ERNST BILLIG et al.			
	AFB						
	AGB						
	AHB						
	AIB						
	AJB						
	AKB						
	ALB						
	AMB						
	ANB						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AOB	2004 020381	03/11/2004	WO (equivalent of US 6 664 427)		NO
	APB					
	AQB					
	ARB					
	ASB					
	ATB					
	AUB					
	AVB					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AWB	
	AXB	
	AYB	
	AZB	

☐ Additional References sheet(s) attached

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	Docket No.: 296473US0X PCT	Serial No.: 10/593,330
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Cornelia BORGMANN, et al.	
	Filing Date: September 19, 2006	Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	0689-0722-0	6,015,928	01/18/00		GUBISCH, et al.
	9350-0094-0	6,239,318	05/29/01		SCHULER, et al.
	9350-0119-0	6,407,295	06/18/02		KAIZIK, et al.
	199151US0	6,403,836	06/11/02		KAIZIK, et al.
	215381US0	6,680,414	01/20/04		KNOOP, et al.
	218240US0	6,627,782	09/30/03		KAIZIK, et al.
	224342US0X	6,720,457	04/13/04		DREES, et al.
	257970US0XPCT	10/511,280	10/22/04	US2005/0182277 A1	TOTSCH, et al.
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	282274US0XPCT	10/562,454	08/18/06		KRISSMANN, et al.

*Present Application; listed for information
NFO/sbs

288322US0XPCT	10/576,302	04/19/06		KAIZIK, et al.
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9350-0089-0	6,184,424	02/06/01		BUESCHKEN, et al.
262019US0X	11/117,335	04/29/05	US2005/0256281 A1	GRUND, et al.
248248US0XPCT	10/487,061	02/18/04	US2004/0238787 A1	WIESE, et al.
249176US0XPCT	10/490,038	03/19/04	US2004/0242947 A1	BELLER, et al.
296473US0XPCT*	10/593,330	09/19/06		BORGMANN, et al.

Examiner

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